

# Technology Assessment

## *The State of / at Play*



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# I will argue that:

## Technology Assessment:


- **First** was about technology, innovation, and science
- **Then also** was about participation by users and citizens
- **Now** needs to be about reinventing the State **and** about experimenting with democracy **too**

# *The State of Play:* **Europe** – TA – S&T in Society

- Grand, societal challenges:
  - Secure, clean and efficient **energy**
  - Smart, green and integrated **transport**
  - **Climate** action, resource efficiency and raw materials
  - Inclusive, innovative and secure **societies**
  - **Health**, demographic change and well-being
  - **Food** security, sustainable agriculture, bio-economy
- EU-broad attention to pTA\*
  - **STOA**, national pTA-s
  - **PACITA**

\* Ganzevles, J. & Van Est, R. (2012). *TA Practices in Europe* (PACITA 2.2). Den Haag: **Rathenau Institute**

# *The State of Play:* Europe – **TA** – S&T in Society

- 1970-s: reactive early warning
  - 1980-s: strategic policy-supporting
  - 1990-s: ELSA (or ELSI or E<sup>3</sup>LSA)
  - 1990-s: CTA
- 

# The TA world in acronyms

- **TA:** technology assessment
- **ELSI:** ethical, legal and social issues
- **ELSA:** ethical, legal and social aspects
- **E<sup>3</sup>LSA:** economic, environmental, ethical, legal and social aspects
- **CTA:** constructive technology assessment
- **STOA:** Scientific and Technological Options Assessment (at the European Parliament)
- **IPTS:** Institute for Prospective Technology Studies (EC, Sevilla)
- **EPTA:** European parliamentary TA network

# *The State of Play:* Europe – **TA** – S&T in Society

- 1970-s: reactive early warning
- 1980-s: strategic policy-supporting
- 1990-s: ELSA (or ELSI or E<sup>3</sup>LSA)
- 1990-s: CTA
- 2000-s: public participation
- 2010-s: reflexive TA + responsible innovation
- 2010-s: reinventing the state



\* Rip, A. & Van Lente, H. (forthcoming in 2013). Bridging the gap between innovation and ELSA: the TA program in the Dutch nano-R&D program NanoNed. *NanoEthics*

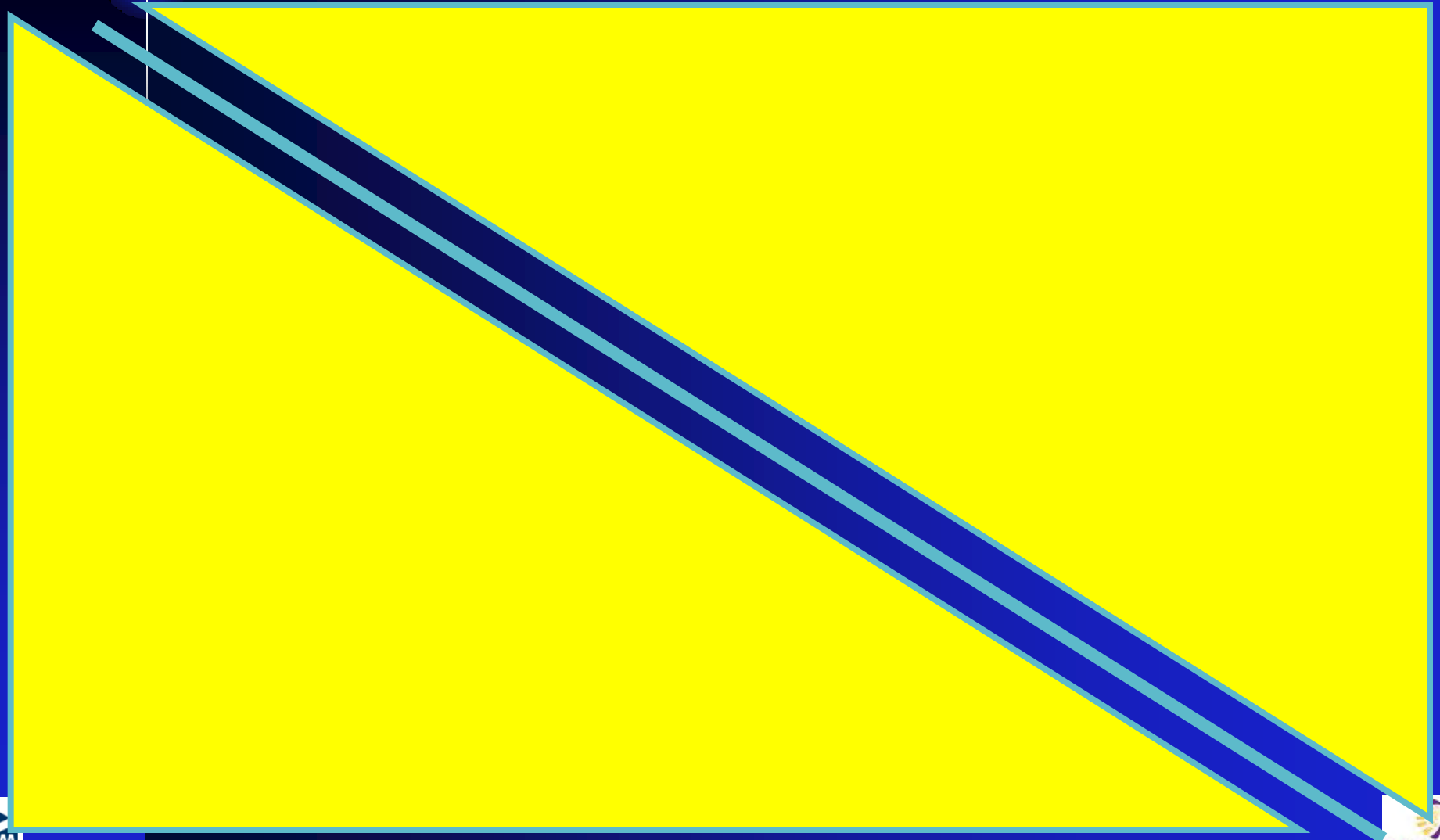
# *The State of Play:* Europe – TA – **S&T in Society**

1. Technology is socially constructed
2. Society is technologically built

Or:

*We live in a technological culture*

***“We live in a Technological Culture”***

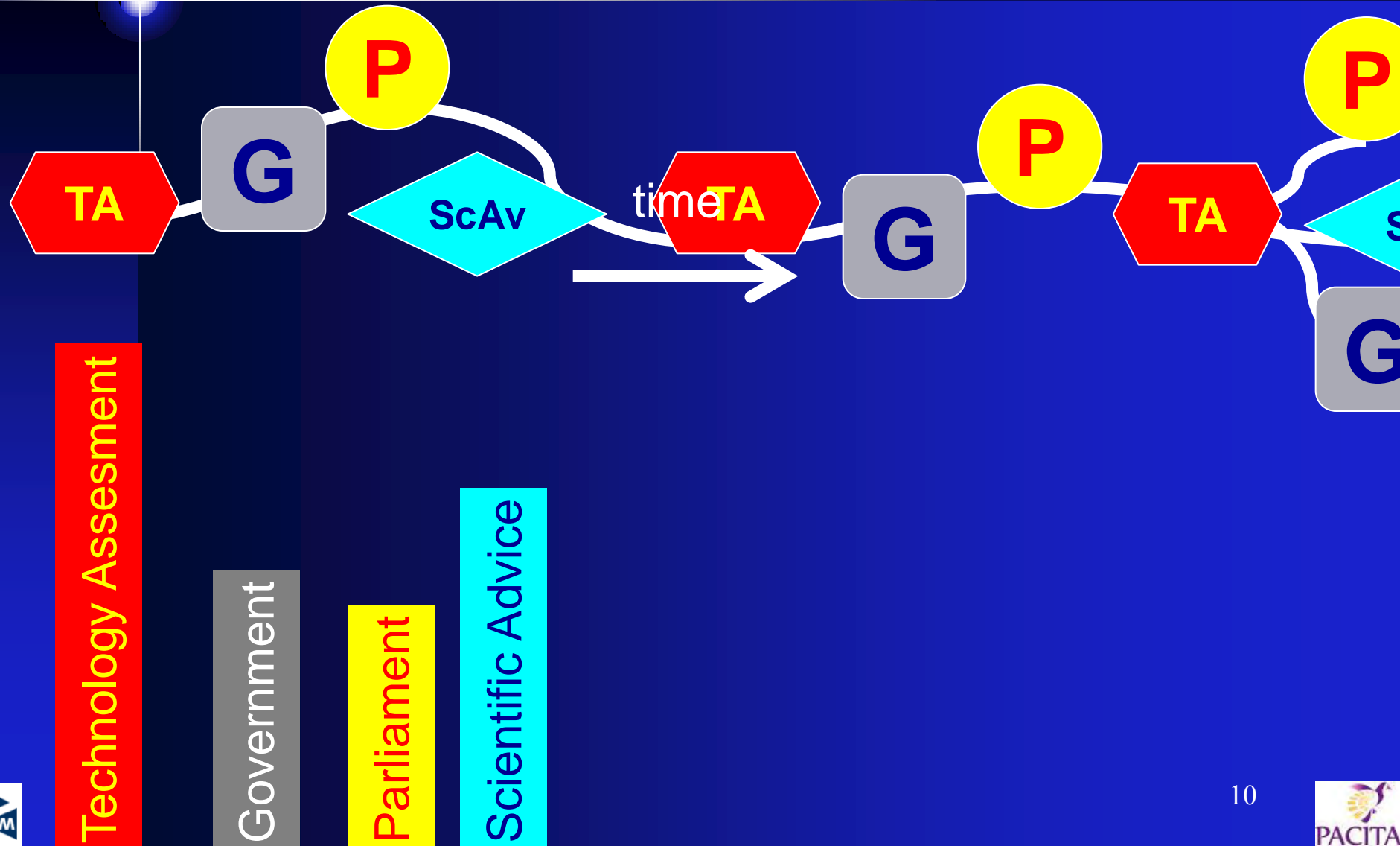




# The State at Play again !

- Last 2 decades: *State* on retreat
- Grand, Societal Challenges: implies the *State* taking up its responsibilities again
- However: what is that *State*?
  - What is the new policy making?
  - What is the new politics?
  - What is the new citizenry?

# A hybrid and pluriform governance process



# ...but State cannot do it alone!

- (State)
- Science & Technology:
  - Demand-driven
  - Early engagement by users & publics
  - CTA
- Society:
  - New publics
  - New politics

# TA: interplay between 4 realms

Gvt.

**State**

Parlmt.

**S&T**

**Society**

## New risk-handling

- There are new risks that need to be handled
- The potential for scientific proof of harm is limited
- The current regulations is not sufficient

**Example:  
governance of  
nanotechnologies**

# Challenge for democracy:

How to democratically govern something that we do not (yet) fully understand?

*Dilemma:*

Early dialogue with little knowledge



Later policy-making when more is known

# Who should be involved?

*Societal  
dialogue*

**Known risks**

(eg. Asbestos)

**Uncertain risks**

(eg. Nano particles)

**Ambiguous risks**

(eg. Human  
enhancement)

*TA flagship  
within NanoNed*

Invite:

**Scientists**

Invite:

**Scientists  
+  
Stakeholders**

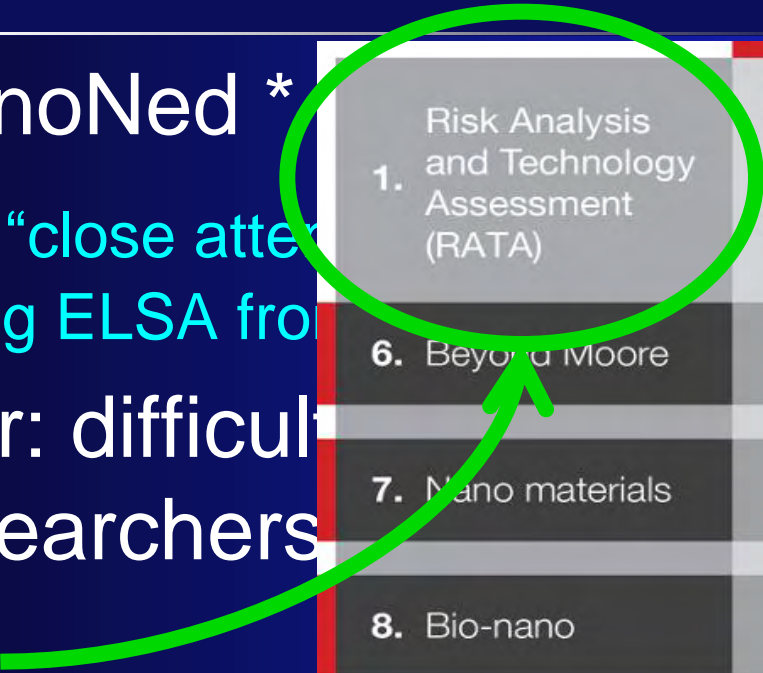
Invite:

**Scientists  
+  
Stakeholders  
+  
Citizens**

# TA and S&T

## TA in NanoNed \*

- CTA (i.e. “close attention to the technology” rather than studying ELSA from a distance)
- However: difficult for nano-researchers
- Now (in NanoNext):



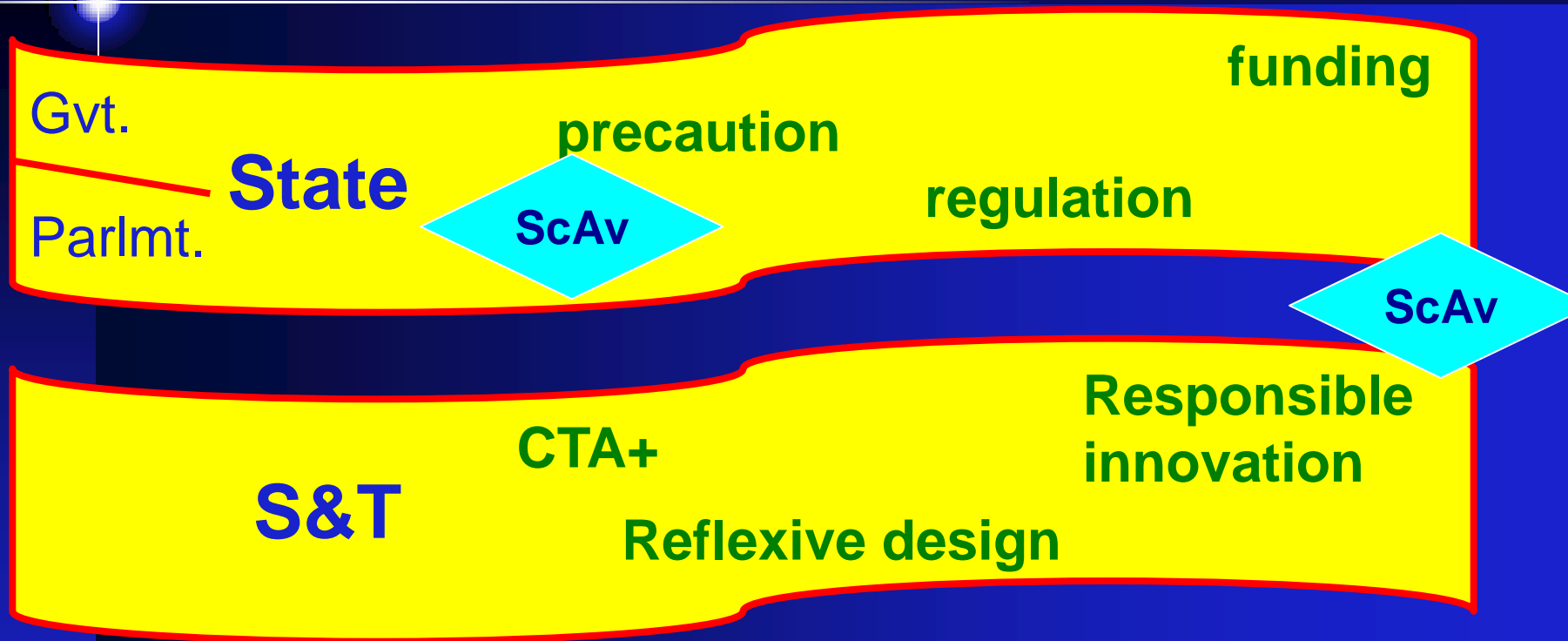
1. Risk Analysis and Technology Assessment (RATA)	2. Energy	3. Nano-medicine	4. Clean water	5. Food
6. Beyond Moore				
7. Nano materials				
8. Bio-nano				
9. Nano fabrication				
10. Sensors and actuators				

of

\* Rip, A. & Van Lente, H. (forthcoming in 2013). Bridging the gap between innovation and ELSA: the TA program in the Dutch nano-R&D program NanoNed. *NanoEthics*



# TA in State + S&T



# TA and Society

**Societal dialogue  
on nanotechnologies  
in The Netherlands  
(2009-2011)**

Dutch experiment:

- On democracy
- On handling new science and technology<sub>18</sub>

# We tried to make clear choices:

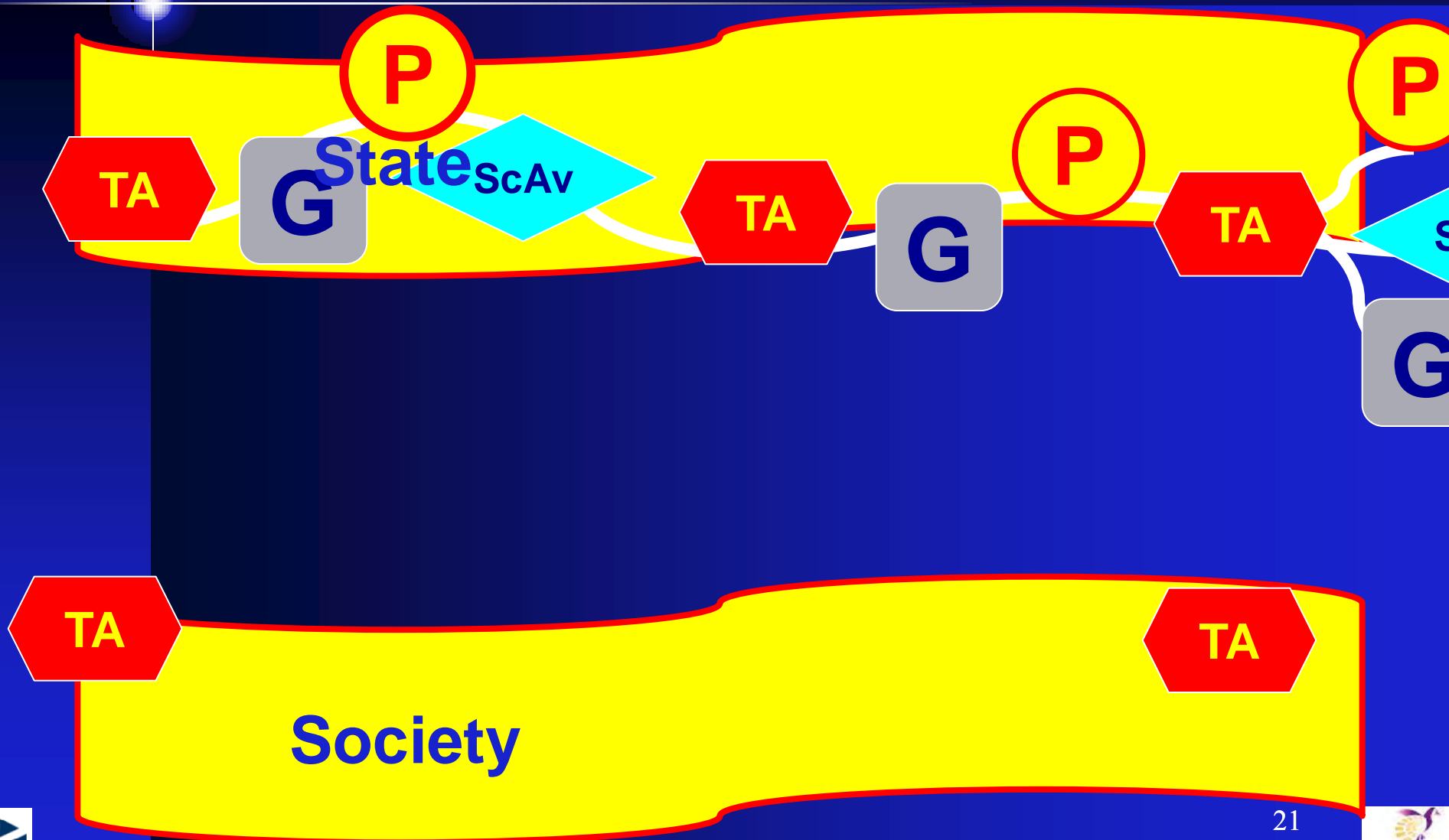
- **Independent organising committee**  
*(but weak political mandate?)*
- **Broad spectrum of participants**  
*(but little attention to key actors?)*
- **Externalisation of activities**  
*(but lack of quality control?)*
- **Broad spectrum of media and means**  
*(but lack of focus?)*
- **Information—Awareness—Dialogue**  
*(but lack of politically relevant questions?)*

# Results of Dialogue

## *Dutch citizens:*

1. Show increased knowledge of nanotechnology
2. Better recognize the risks involved
3. Give more support to nanotechnology  
*(as long as scientists and government continue to investigate risks too)*

# TA in State + Society



Proactive TA,  
asking questions  
about what life  
society might want

Public debate and  
citizens participation;  
discussion of 'soft'  
and 'hard' impacts

TA

G

ScA

TA

G

P

P

G

Decides for  
precaution;  
Asks for  
scientific advice

Review of 'hard'  
impacts

New imaginations  
of S&T, of society,  
of democracy

Alerts and

Ask sc

Approve

Advisory rep

Workshop with M  
and stakeholders

Order

App

Soci

# Conclusion

## Technology Assessment:

- ... works at the interplay between
  - State,
  - Science & Technology
  - Society
- ... provides scientific **evidence to inform politics** and policies:
- ... **engages with science**, technology and innovation constructively and critically
- ... **innovates democracy** by enrolling citizens into S&T dialogues in new ways

# Conclusion



# References

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